



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. H. WALLMAN
Appl'n No.: 09/038,158
Filing Date: 11 March 1998
For: METHOD AND APPARATUS FOR ENABLING
SMALLER INVESTORS OR OTHERS TO
CREATE AND MANAGE A PORTFOLIO

PATENT
Attny Dkt No.: 10392-46001

Group Art Unit: 2164
Examiner: A. Bashore

Box AF
Commissioner for Patents
Washington D.C. 20231

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APR 08 2002

Technology Center 2100

NOTICE OF APPEAL

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences the decision of the Examiner, dated October 3, 2001, finally rejecting the pending claims of the above-referenced application. Please charge payment of the \$160.00 small entity appeal fee to Kenyon & Kenyon's Deposit Account No. 11-0600.

The Office is authorized to charge the three-month extension of time fee of \$460.00 to Deposit Account No. 11-0600, extending the period for response to April 3, 2002. Although no additional fee is believed due, the Commissioner is hereby authorized to charge payment of any fees required under 37 C.F.R. § 1.16 and 1.17 or credit any overpayment to Deposit Account No. 11-0600. A copy of this sheet is enclosed for that purpose.

04/04/2002 CNGUYEN 00000027 110600 09038158

01 FC:219 160.00 CH
02 FC:217 460.00 CH

Respectfully submitted,

KENYON & KENYON

Dated: April 3, 2002

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4-9-02